



UNIVERSITY
of VIRGINIA

DARDEN SCHOOL
of BUSINESS

Batten Institute for
Entrepreneurship and Innovation

ENTREPRENEURSHIP & INNOVATION

Request for Proposals

Proposal Deadline: May 1, 2018
Grant Funding Period: July 1, 2018–June 30, 2019 (FY19)

The Batten Institute, a center the Darden School of Business dedicated to advancing knowledge about entrepreneurship and innovation, is soliciting research grant proposals for the 2018–19 academic year. The purpose of the grant program is to encourage rigorous and relevant academic research that results in high-impact intellectual outputs consistent with the missions of the Batten Institute, the Darden School, and the University of Virginia.

Eligibility

To be eligible, the principal investigator (PI) must be a faculty member at the University of Virginia; however, proposals may include co-investigators who are not members of the UVA faculty. For Darden faculty members, these awards can supplement RCD disbursements.

Funding

Funding requests for individual projects have ranged from \$2,000 to \$20,000; the average request approved by the committee has been approximately \$10,000. Grants may be used to cover research-related expenses in support of specific research projects that address entrepreneurship and innovation,^[1] including but not limited to: travel, data collection, research assistance, data subscriptions, or any such investments required to carry out research. Grants may *not* be used for salary support or honoraria.

Review

All proposals will be reviewed and decided upon by a committee that includes members of Darden's Research and Course Development Committee and the Batten Institute's leadership. The committee will base its decision on:

- 1) the scholarly merits of the proposal;
- 2) whether the proposed research falls in the domains of entrepreneurship or innovation;

- 3) the quality of the expected/forthcoming intellectual contribution;
- 4) the likelihood that the research project will result in the publication of papers in peer-reviewed academic journals and other research-oriented outlets; and
- 5) the PI's past record of productivity.

The committee reserves the right to modify the amount of support granted.

Grant Management

Grants will be managed by the Principal Investigator. Timely submission of expenses will be the responsibility of the PI, as will attention and adherence to UVA policies and Darden guidelines on the expenditure of research funds. Following UVA policy, all grants are intended to be used during a single academic year (July 1, 2018–June 30, 2019); unspent funds may not be rolled over to the following year or repurposed. However, investigators may reapply for grant monies in subsequent years should an ongoing project require further time and/or funding. Investigators receiving funding for an ongoing project may apply separately for funds for new projects.

Acknowledgment

By accepting Batten Institute funds, the grantee accepts the responsibility for acknowledging the support of the Batten Institute in the resulting intellectual outputs, including but not limited to: papers, articles, briefings, books, teaching cases, and conferences. Grantees will also permit the Batten Institute to feature their funded projects on its website and in other electronic or printed publications.

Proposals

Grant proposals should be submitted using the online form located at:

[RESEARCH GRANT SUBMISSION FORM](#)

The deadline is **May 1, 2018**. Proposals should be brief (~2 pages), and they must clearly articulate how the research will address important questions related to entrepreneurship and/or innovation, as defined by *Management Science*.^[1] Each submission should include the following elements:

- Project title
- Investigators (names, titles, affiliations)
- Abstract (brief)
- Research question
- Relevance to entrepreneurship and/or innovation
- Methodology
- Intended outcomes
- Expected deliverables

- Planned expenditures
- Budget
- Other important considerations

NOTE: If seeking support for the continuation of projects funded in previous years, please also describe the progress made to date and include the title(s) of any papers, cases, books, or other research outputs produced during the last year in relation to those ongoing projects.

Grant proposals should be submitted using the online form located at:

http://virginia.qualtrics.com/SE/?SID=SV_9zUhHH0y5tPrr3T

For specific questions regarding the Batten Institute Research Grant Program, please contact:

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[¹] For the purpose of evaluating the relevance of research projects, the Batten Institute has adopted the definition of entrepreneurship and innovation articulated by *Management Science* (“Editorial Statements and Abridged Policies,” <https://pubsonline.informs.org/page/mnsc/journal-editorial-statement>):

Entrepreneurship includes new business creation as well as entrepreneurial activities undertaken within existing businesses or through new market mechanisms. Innovation includes novel and creative ways to create value through new products or services, new business models, or new processes.

Topics of interest include (but are not limited to):

- new venture formation processes, financing, and strategies;
- R&D and project management, performance metrics, and portfolio evaluation;
- institutions and policies to enhance entrepreneurship and innovation;
- markets for ideas, innovation, and other intangibles;
- regional and global dynamics of entrepreneurship and innovation;
- university and science-based innovation and technology transfer;
- continuous improvement and new process development;
- new product development, development processes, and service design;
- patents, licensing, and intellectual property;

- business model innovation (e.g., operations, marketing, or network innovation);
- open innovation and distributed innovation; and
- market and financial impact of innovation.